

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

# 5424  
LCC

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/394,265 09/10/99 WOLF

D 04037/007001

0242/1001

LOUIS MYERS  
FISH & RICHARDSON PC  
225 FRANKLIN STREET  
BOSTON MA 02110-2804

NOT ASSIGNED

1635

DATE MAILED:

10/01/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply:

Customer Service Center  
Patent Examination Division (703) 308-1202

12/08/1999 CDETIN 00000009 01394265

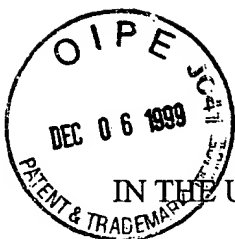
01-FC:205

02-FC:299

65.00 EP

300.00 EP

Miss/parts  
10/1/99  
12/1/99  
4/1/2000  
1/1/00



*SECRET*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David E. Wolf  
Serial No. : 09/394,265  
Filed : September 10, 1999  
Title : RECOMBINANT REDUCED VALENCY CARBOHYDRATE BINDING  
LIGANDS

Art Unit : 1635  
Examiner : Unknown

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Correspondence relating to this application is enclosed as follows:

1. Response to Notice to File Missing Parts of Application (2 pgs.);
2. Notice to File Missing Parts of Application (1 pg.);
3. Verified Statement Claiming Small Entity Status (1 pg.);
4. Combined Declaration and Power of Attorney; and
5. Check in the amount of \$445.00

Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

*Nov 30, 1999*

*Allison A. Johnson*

Allison A. Johnson  
Reg. No. 36,173

AAJ/jze

Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696  
60002615.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

November 30, 1999

Date of Deposit

Signature

*Julie A. Erickson*

Julie A. Erickson

Typed or Printed Name of Person Signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No. : 09/394,265  
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**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b)  
mailed October 1, 1999 (a copy of which is enclosed), applicant as a small entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$380;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or  
declaration.
- ☒ A check in the total amount of \$445 is attached.
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR  
§1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being  
deposited with the United States Postal Service as first class mail with  
sufficient postage on the date indicated below and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231.

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*Julie A. Erickson*

Julie A. Erickson

Typed or Printed Name of Person Signing Certificate

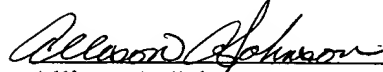
Applicant : David E. Wolf  
Serial No. : 09/394,265  
Filed : September 10, 1999  
Page : 2

Attorney's Docket No.: 04037-007001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Nov 30, 1999

  
Allison A. Johnson  
Reg. No. 36,173

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